Certified Static and Dynamic Symmetry Breaking

Bart Bogaerts

(Thanks to co-conspirators Jo Devriendt, Ward Gauderis, Stephan Gocht, Ciaran McCreesh, Jakob Nordström) *Vrije Universiteit Brussel*

Satisfiability: Theory, Practice, and Beyond Simons Insitute; 20/04/2023



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Example

Consider the formula F:

$$\begin{array}{ll} a \vee \overline{b} \vee x \vee y & \qquad \qquad b \vee c \vee x \vee y \\ \overline{c} \vee \overline{d} \vee x \vee y & \qquad \qquad d \vee \overline{a} \vee x \vee y \end{array}$$

The permutation

$$(ab\overline{c}d)(xy)(\overline{a}\overline{b}c\overline{d})(\overline{xy})$$

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- Symmetric problems are often problematic for vanilla CDCL solvers (insert obligatory reference to PH principle here)

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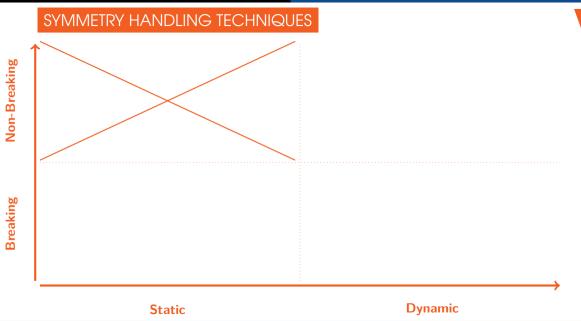
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OUTLINE OF THIS TALK

- 1. Introduction
- 2. Handling Symmetries in SAT (Overview)
- 3. Symmetry Breaking with VeriPB
 - 1. The VeriPB proof System
 - 2. VeriPB-certified symmetry breaking
- 4. Conclusion

Static



Add lex-leader constraint for symmetries of F:

set of clauses \boldsymbol{B} such that

$$\alpha \models B \text{ iff } \alpha \preceq_{lex} \alpha \circ \sigma$$

Global symmetry breaking SHATTER [ASM06]
BREAKID [DBBD16]

Static

Add lex-leader constraint for symmetries of subformulas of F:

Global symmetry breaking SHATTER [ASM06]
BREAKID [DBBD16]

Local symmetry breaking [BS07]

Static

For "simple symmetries", instead of branching on variables, on the number of variables that are true

Global symmetry breaking SHATTER [ASM06]
BREAKID [DBBD16]

Local symmetry breaking [BS07]
Asymmetric branching SYMCHAFF [Sab09]

Static

Add lex-leader constraint for symmetries of F

when these clauses would propagate

Global symmetry breaking SHATTER [ASM06] BREAKID [DBBD16]

Local symmetry breaking [BS07]
Asymmetric branching SYMCHAFF [Sab09]
Effective symmetry breaking [MBCK18]

Static

Propagator for \leq_{lex} -minimality

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Asymmetric branching SYMCHAFF [Sab09]
Effective symmetry breaking [MBCK18]
SAT modulo symmetries [KS21]
SAT modulo CAS [BKG19]

Static

When SAT solver learns c, also learn $c
vert_{\sigma}$ (if this seems "interesting")

Symmetric Learning [HKM⁺05] [SHvM09, BNOS10, DBD⁺12, DBB17]

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Static

Hybrid combination of
Effective symmetry breaking predicates
(first)
and symmetric learning
(for symmetries not broken completely)

Symmetric Learning [HKM $^+$ 05] [SHvM09, BNOS10, DBD $^+$ 12, DBB17]

ESBP+SP [MBK19]

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 - working version of ESBP [MBCK18]
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Symmetric learning

- Recently proposed proof logging [TD20]
 - 1. Special-purpose, specific approach
 - 2. Requires adding explicit concept of symmetries
 - 3. Not compatible with preprocessing techniques

Better to keep proof system super-simple(?)

THE VERIPB PROOF SYSTEM

A proof system for pseudo-Boolean optimization problems

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Details about the proof checker, see Stephan Gocht's PhD thesis [Goc22]

PSEUDO-BOOLEAN CONSTRAINTS

Pseudo-Boolean constraints are 0-1 integer linear constraints

$$\sum_{i} a_i \ell_i \ge A$$

- $ightharpoonup a_i, A \in \mathbb{Z}$
- literals ℓ_i : x_i or \overline{x}_i (where $x_i + \overline{x}_i = 1$)
- lacktriangle as before, variables x_i take values 0 = false or 1 = true

PSEUDO-BOOLEAN REASONING: CUTTING PLANES [CCT87]

$$\begin{array}{c} \text{Literal axioms} \ \overline{ \ \ \ell_i \geq 0 } \\ \\ \text{Linear combination} \ \ \underline{ \begin{array}{c} \sum_i a_i \ell_i \geq A \\ \hline \sum_i (c_A a_i + c_B b_i) \ell_i \geq c_A A + c_B B \end{array}} \end{array} } \quad [c_A, c_B \in \mathbb{N}] \\ \\ \text{Division} \ \ \underline{ \begin{array}{c} \sum_i ca_i \ell_i \geq A \\ \hline \sum_i a_i \ell_i \geq \lceil A/c \rceil \end{array}} \quad [c \in \mathbb{N}^+] \\ \end{array}$$

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- Adding redundant constraints should be OK
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Redundance-based strengthening [BT19, GN21]

C is redundant with respect to F if and only if there is a substitution ω (mapping variables to truth values or literals), called a witness, for which

$$F \wedge \neg C \models (F \wedge C) \upharpoonright_{\omega}$$

Fact

$$\alpha \models \phi \upharpoonright_{\omega} \quad \textit{iff} \quad \alpha \circ \omega \models \phi$$

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- Proof sketch for interesting direction: If α satisfies F but falsifies C, then $\alpha \circ \omega$ satisfies $F \wedge C$
- Implication should be efficiently verifiable (which is the case, e.g., if all constraints in $(F \wedge C) \upharpoonright_{\omega}$ are RUP)

 $\label{eq:Deal_problem} \mbox{Deal with symmetries by switching focus to } \mbox{optimization}$

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Minimize $f = \sum_i w_i \ell_i$ (for $w_i \in \mathbb{N}$) subject to constraints in F

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Note that $\sum_i w_i \ell_i < \sum_i w_i \cdot \alpha(\ell_i)$ means $\sum_i w_i \ell_i \leq -1 + \sum_i w_i \cdot \alpha(\ell_i)$

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Spoiler alert:

For decision problem, nothing stops us from inventing objective function (like lexicographic order $\sum_{i=1}^n 2^i \cdot x_i$)

PROOF LOGGING FOR OPTIMIZATION PROBLEMS

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- 7. . . .

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- 7. ...
- 8. Can't go on forever, so finally reach α' satisfying $F \wedge D$

Dominance-based strengthening (stronger, still simplified) [BGMN22]

If D_1,D_2,\ldots,D_{m-1} have been derived from F (maybe using dominance), then can derive also D_m if exists witness substitution ω such that

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Further extensions:

- ▶ Define dominance rule w.r.t. order independent of objective function
- Switch between different orders in same proof

STRATEGY FOR SAT SYMMETRY BREAKING

Pretend to solve optimisation problem minimizing $f = \sum_{i=1}^{n} 2^{n-i} \cdot x_i$ (searching lexicographically smallest assignment satisfying formula)

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- 1. Pretend to solve optimisation problem minimizing $f \doteq \sum_{i=1}^n 2^{n-i} \cdot x_i$ (searching lexicographically smallest assignment satisfying formula)
- 2. Derive pseudo-Boolean lex-leader constraint

$$C_{\sigma} \doteq f \leq f \upharpoonright_{\sigma}$$
$$\doteq \sum_{i=1}^{n} 2^{n-i} \cdot (\sigma(x_i) - x_i) \geq 0$$

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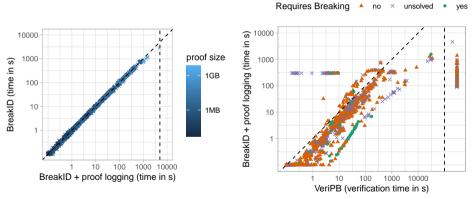
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3. Derive CNF encoding of lex-leader constraints from PB constraint (in same spirit as [GMNO22])

$$\begin{array}{ll} y_0 & \overline{y}_j \vee \overline{\sigma(x_j)} \vee x_j \\ \overline{y}_{j-1} \vee \overline{x}_j \vee \sigma(x_j) & y_j \vee \overline{y}_{j-1} \vee \overline{x}_j \\ \overline{y}_j \vee y_{j-1} & y_j \vee \overline{y}_{j-1} \vee \sigma(x_j) \end{array}$$

EXPERIMENTAL EVALUATION

- Evaluated on SAT competition benchmarks
- BREAKID [DBBD16, Bre] used to find and break symmetries



- proof logging overhead negligible
- verification at most 20 times slower than solving for 95% of instances

Bart Bogaerts (VUB) Certified Symmetry Breaking

Definition

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Theorem

 C_{σ} can be derived from F using dominance with witness σ

$$F \wedge \neg C_{\sigma} \models F \upharpoonright_{\sigma} \wedge f \upharpoonright_{\sigma} < f$$

Breaking symmetries with the dominance rule

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Why does it work?

- Witness need not satisfy all derived constraints
- Sufficient to just produce "better" assignment

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- ► Claim that this generalizes to dynamic symmetry breaking methods

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 Proofs with lemmas?

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Thank you for your attention!

SYMMETRY BREAKING: EXAMPLE

Example (Pigeonhole principle formula)

- ▶ Variables p_{ij} (1 ≤ i ≤ 4, 1 ≤ j ≤ 3) true iff pigeon i in hole j
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Order: "Pigeon 1 preferred in the largest possible hole; next pigeon 2, ..."

$$f \doteq 2^{11} \cdot p_{13} + 2^{10} \cdot p_{12} + 2^9 \cdot p_{11} + 2^8 \cdot p_{23} + \dots + 1 \cdot p_{41}$$

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Similar to DRAT symmetry breaking [HHW15]

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This idea does not generalize.

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This idea does not generalize.

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$$F \wedge \neg C_{1234} \models F \upharpoonright_{\sigma_{(1234)}} \wedge C_{1234} \upharpoonright_{\sigma_{(1234)}} \wedge f \upharpoonright_{\sigma_{(1234)}} \leq f$$

Intuitively, C_{1234} holds if shifting all the pigeons results in a worse assignment. If it is falsified, we can "restore" its truth by applying $\sigma_{(1234)}$ once, twice, or thrice.

STRATEGY FOR SAT SYMMETRY BREAKING

- 1. Pretend to solve optimisation problem minimizing $f \doteq \sum_{i=1}^{n} 2^{n-i} \cdot x_i$ (searching lexicographically smallest assignment satisfying formula)
- 2. Derive pseudo-Boolean lex-leader constraint

$$C_{\sigma} \doteq f \leq f \upharpoonright_{\sigma}$$
$$\doteq \sum_{i=1}^{n} 2^{n-i} \cdot (\sigma(x_i) - x_i) \geq 0$$

3. Derive CNF encoding of lex-leader constraints from PB constraint (in same spirit as [GMNO22])

$$\begin{array}{ll} y_0 & \overline{y}_j \vee \overline{\sigma(x_j)} \vee x_j \\ \overline{y}_{j-1} \vee \overline{x}_j \vee \sigma(x_j) & y_j \vee \overline{y}_{j-1} \vee \overline{x}_j \\ \overline{y}_j \vee y_{j-1} & y_j \vee \overline{y}_{j-1} \vee \sigma(x_j) \end{array}$$

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$$\overline{y}_j \vee \overline{\sigma(x_j)} \vee x_j$$

$$y_j \vee \overline{y}_{j-1} \vee \overline{x}_j$$

$$y_i \vee \overline{y}_{j-1} \vee \sigma(x_j)$$

Define y_j to be true if x_k equals $\sigma(x_k)$ for all $k \leq j$

$$y_k \Leftrightarrow y_{k-1} \wedge (x_k \Leftrightarrow \sigma(x_k))$$

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(derivable with redundance rule) If y_k is true, x_k is at most $\sigma(x_k)$ (derivable from the PB breaking constraint)

Derived constraints (D):

Pseudo-Boolean breaking constraint

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Derivable by RUP

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with

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$$F \wedge D \wedge \neg (\overline{y}_1 \vee y_0)$$

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$$\models \cdots \wedge D \upharpoonright_{\omega} \wedge \cdots$$

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$$\overline{y}_0 \lor \overline{x}_1 \lor \sigma(x_1)$$

$$\overline{y}_1 \lor y_0$$

$$\overline{y}_1 \lor \overline{\sigma(x_1)} \lor x_1$$

$$y_1 \lor \overline{y}_0 \lor \overline{x}_1$$

$$y_1 \lor \overline{y}_0 \lor \sigma(x_1)$$

$$\overline{y}_1 \lor \overline{x}_2 \lor \sigma(x_2)$$

$$\sum_{i=1}^{n} 2^{n-i} \cdot (\sigma(x_i) - x_i) \ge 0$$

$$+ 2^{n-1} \cdot (\overline{y}_1 + \overline{\sigma(x_1)} + x_1 \ge 1)$$

$$2^{n-1} \cdot \overline{y}_1 + \sum_{i=2}^{n} 2^{n-i} \cdot (\sigma(x_i) - x_i) \ge 0$$

Derived constraints (D):

$$\sum_{i=1}^{n} 2^{n-i} \cdot (\sigma(x_i) - x_i) \ge 0$$

$$y_0$$

$$\overline{y}_0 \lor \overline{x}_1 \lor \sigma(x_1)$$

$$\overline{y}_1 \lor y_0$$

$$\overline{y}_1 \lor \overline{\sigma(x_1)} \lor x_1$$

$$y_1 \lor \overline{y}_0 \lor \overline{x}_1$$

$$y_1 \lor \overline{y}_0 \lor \sigma(x_1)$$

$$\overline{y}_1 \lor \overline{x}_2 \lor \sigma(x_2)$$

$$\sum_{i=1}^{n} 2^{n-i} \cdot (\sigma(x_i) - x_i) \ge 0$$

$$+ 2^{n-1} \cdot (\overline{y}_1 + \overline{\sigma(x_1)} + x_1 \ge 1)$$

$$2^{n-1} \cdot \overline{y}_1 + \sum_{i=2}^{n} 2^{n-i} \cdot (\sigma(x_i) - x_i) \ge 0$$

The clause to derive is RUP wrt this constraint

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